

Compliance Today – December 2020 SEC issues final rule on whistleblower provisions

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On September 23, the United States Securities and Exchange Commission (SEC) published its final rule^[1] on several questions regarding the agency's whistleblower program. Among the rulings were a rejection of a change that would have limited payouts on very large fraud cases, the removal of all internal whistleblower protections offered by the SEC, and a restriction of "related action" cases in which whistleblowers could receive rewards from more than one agency.

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