Health Care Privacy Compliance Handbook, 3rd Edition
1—Appendix A: Security Standards Matrix

Excerpted from 45 C.F.R. § 164 , Subpart C, Appendix A

Standards	Sections	Implementation Specifications: (R)=Required, (A)=Addressable	
Security Management Process	45 C.F.R. § 164.308(a)(1)	Risk Analysis	(R)
		Risk Management	(R)
		Sanction Policy	(R)
		Information System Activity Review	(R)
Assigned Security Responsibility	45 C.F.R. § 164.308(a)(2)		(R)
Workforce Security	45 C.F.R. § 164.308(a)(3)	Authorization and/or Supervision	(A)
		Workforce Clearance Procedure	(A)
		Termination Procedures	(A)
Information Access Management	45 C.F.R. § 164.308(a)(4)	Isolating Healthcare Clearinghouse Function	(R)
		Access Authorization	(A)

		Access Establishment and Modification	(A)
Security Awareness and Training	45 C.F.R. § 164.308(a)(5)	Security Reminders	(A)
		Protection from Malicious Software	(A)
		Log-in Monitoring	(A)
		Password Management	(A)
Security Incident Procedures	45 C.F.R. § 164.308(a)(6)	Response and Reporting	(R)
Contingency Plan	45 C.F.R. § 164.308(a)(7)	Data Backup Plan	(R)
		Disaster Recovery Plan	(R)
		Emergency Mode Operation Plan	(R)
		Testing and Revision Procedures	(A)
		Applications and Data Criticality Analysis	(A)
Evaluation	45 C.F.R. § 164.308(a)(8)		(R)
Business Associate Contracts and Other Arrangements	45 C.F.R. § 164.308(b)(1)	Written Contract or Other Arrangement	(R)

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