

HCCA Compliance 101, Fifth Edition

Appendix 10. Sample Nonretaliation/Nonretribution Policy

Background/Purpose

[ORGANIZATION] has implemented a compliance program that promotes the highest standard of ethical and legal conduct. Standards of conduct and procedures for faculty members, residents, and staff are implemented to guide this effort.

[ORGANIZATION] believes that positive employee relations and morale can be achieved and maintained in a working environment that promotes ongoing open communication between supervisors and their employees. Open and candid discussions of employee problems and concerns are encouraged.

[ORGANIZATION] believes employees should express their problems, concerns, and opinions on any issue and feel that their views are important. To that end, a policy will be implemented that will encourage employees to communicate problems, concerns, and opinions without fear of retaliation or retribution.

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