

Corporate Compliance Forms and Tools

Sample Risk Rating Matrix

	LIKELIHOOD										
High Medium Low	5.0									*	*
	4.0					*			*		
	3.0		*								
	2.0				*					*	
	1.0										
		1	2	3	4	5	6	7	8	9	10
		Minor		Moderate					Severe		
		IMPACT									

- **Light gray:** Risks at this level should be monitored but do not necessarily pose any serious threat to the organization at the present time.
- **Medium gray:** Organization should proactively take steps to actively monitor and further evaluate these risk areas and likely engage mitigation strategies.
- **Dark gray:** Immediate action is required to address these risk areas as the potential for violations or damage to the organization is significant.

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