

Corporate Compliance Forms and Tools Sample Compliance Risk Dashboard

Some organizations use compliance risk scorecards (or more euphemistically, “dashboards”) as a shortcut to give executives and board members information about what is being accomplished by the compliance program and where the organization is at risk.

Risk assessment results should be used to determine whether compliance risks are increasing or decreasing. Company leaders want to readily see the cause-and-effect relationship between compliance and risk reduction. Additionally, risk assessment data can help the business think through compliance issues related to strategic initiatives and build proactive and preventive solutions instead of being reactive and waiting to respond until after problems arise.

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