

# Report on Research Compliance Volume 21, Number 1. January 02, 2024

## FY 2023 NSF OIG Investigative Case Activities

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Investigative Reports Issued to NSF Management for Action <sup>[1]</sup>	24
Referrals to DOJ Criminal Prosecutors (individuals and entities counted separately for all referrals)	8
Referrals to Criminal State/Local Authorities	0
Indictments/Criminal Information	1
Criminal Convictions/Pleas	0
Substantiated Senior Government Employee Misconduct	0
Substantiated Whistleblower Retaliation	0
Substantiated Agency Interference	0
Referrals to DOJ Civil Prosecutors	9
Civil Settlements/Judgments/Compliance Plans	2
Research Misconduct Findings Issued by NSF <sup>[2]</sup>	3
Government-wide Suspensions/Debarments/Voluntary Exclusions	5

Administrative Actions taken by NSF (includes actions related to findings of research misconduct, suspension/termination of awards or employee misconduct)	42
Total Investigative Recoveries (includes funds returned to NSF, restitution, fees, proceeds from civil settlements and funds put to better use)	4,602,931

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