COSMOS®
Navigate the Compliance Universe

Healthcare Compliance Forms and Tools
Compliance Work Plan

By Jennifer McAleer and Ryan Stanberry

		Action Item	Compliance Elements	Risk Domains	Lead Assigned	Staff Assigned	Current Status	Due Date	Completion Date	Comments
1		Department Infrastructure								
	a						0%			
	b						0%			
2		Communications								
	a						0%			
	b						0%			
3		Policies & Procedures								
	a									
	b									
4		Enterprise Risk Management								

		Action Item	Compliance Elements	Risk Domains	Lead Assigned	Staff Assigned	Current Status	Due Date	Completion Date	Comments
	a						0%			
	b						0%			
5		Investigations, Issues & Initiatives								
	a						0%			
	b						0%			
6		Training								
	a						0%			
	b						0%			
7		Conflict of Interest								
	a						0%			
	b						0%			
8		ADA/1557 Compliance/Discrimination Complaints								

		Action Item	Compliance Elements	Risk Domains	Lead Assigned	Staff Assigned	Current Status	Due Date	Completion Date	Comments
	a						0%			
	b						0%			
9		Audits								
	a						0%			
	b						0%			
10		Contracts								
	a						0%			
	b						0%			
11		Joint Ventures								
	a						0%			
	b						0%			
12		Physician Compliance Management								
	a						0%			

		Action Item	Compliance Elements	Risk Domains	Lead Assigned	Staff Assigned	Current Status	Due Date	Completion Date	Comments
	b						0%			
13		Privacy								
	a						0%			
	b						0%			
14		Overpayment Process								
	a						0%			
	b						0%			
15		Legal								
	a						0%			
	b						0%			
16		Insurance								
	a						0%			
	b						0%			

		Action Item	Compliance Elements	Risk Domains	Lead Assigned	Staff Assigned	Current Status	Due Date	Completion Date	Comments
17		**Compliance & Risk Week**								
	a						**0%**			
	b						**0%**			
18		**Program Evaluation**								
	a						**0%**			
	b						**0%**			
		Total					**0%**			
Compliance Elements										
	1	Policies & Procedures								
	2	Chief Compliance Officer & Compliance Committee								
	3	Training								
	4	Communication								
	5	Discipline								

	Action Item	Compliance Elements	Risk Domains	Lead Assigned	Staff Assigned	Current Status	Due Date	Completion Date	Comments
6	Monitoring & Auditing								
7	Prompt Response								
8	Evaluation								
Risk Domains									
1	Operational								
2	Clinical/Patient Safety								
3	Strategic								
4	Financial								
5	Human Capital								
6	Legal/Regulatory								
7	Technology								
8	Hazard								

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