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Betsy Wade (<u>bwade@signaturehealthcarellc.com</u>, <u>linkedin.com/in/betsy-wade-mph-chc-cna-689555ba/)</u> is the Chief Compliance and Ethics Officer at Signature Healthcare, Louisville, KY.

Succession planning should be part of compliance programs

by Betsy Wade

The chief compliance officer (CCO) wears many hats, including oversight of the organization's day-to-day compliance operations and the provision of short- and long-term strategic direction for the compliance program. The CCO is also responsible for managing the department's human resources.

Since the beginning of the COVID-19 pandemic, employee retention has become a larger focus as compliance departments have seen turnover due to retirements and the "Great Resignation." [1] Regardless of what is leading to turnover in compliance programs, it is important for CCOs to have a succession plan.

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