

# Complete Healthcare Compliance Manual

## Resource: List of Compliance Policies

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### Representative List of Types of Compliance Related Policies for Most Healthcare Organizations

Advanced Beneficiary Notice	HIPAA Privacy & Security
Auditing & Monitoring	Identification of Overpayments and Re-payment Obligations
Charity Care	Internal Investigations
Coding	Language Services
Conflict of Interest	Non-retaliation / Non-retribution
Compliance Hotline	Patient Rights
Compliance Program Structure	Patient Transfer Between Facilities
Contracting Authority	Policies addressing Regulatory Requirements (e.g., FCA, EMTALA, AKS, CMP, Stark Law)
Corrective Action Plans	Policy on Policies
Credentialing & Privileging	Records Management & Retention
Department /High Risk Area Specific	Response to Regulatory Accreditation Surveys

Designated Medical Record	Response to Government Investigations
Discounting Services	Risk Assessment
Documentation /Medical Necessity	Root Cause Analysis
Duty to Report	Screening /Excluded Individuals
Enforcement & Disciplinary	Self-Reporting of Violations of Law/Regulation
Financial	Third-Party Relationships / Contract Review
Fraud & Abuse	Training & Education
Gifts, Gratuities, & Business Courtesies	Vendor Management

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