

SCCE Compliance 101 Third Edition Conclusion

As you know, better than most, compliance professionals must deal with complex and cumbersome laws and regulations daily. But industry laws and regulations are not new. Compliance programs are mandated by regulatory agencies in many industry segments. The basic elements are the same for all industries, but, of course, it is necessary to identify the risks pertinent to your segment of industry.

Armed with mandates from regulatory agencies, boards, and senior leadership, we as a community of compliance professionals are creating and implementing compliance programs that embody and fulfill commitments to our organizations' missions and strategies. Compliance programs are strategic components of providing the best possible services.

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