

CEP Magazine – August 2021

How to engage your board in compliance

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The 1996 *In Re Caremark* decision stated that boards of directors have a fiduciary duty to ensure the effectiveness of the organization's compliance program, and this responsibility has steadily expanded since.^[1] The Federal Sentencing Guidelines make it clear that boards must be knowledgeable and exercise "reasonable oversight" of the compliance program. While these factors should give board members the motivation to engage in oversight of the organization's compliance activities, the reality is that this responsibility falls to the compliance officer.

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